



Sprott ETFs provide investors with access to innovative and unique indexes that are designed to outperform passive market cap-weighted offerings.

Sprott Junior Gold Miners Exchange Traded Fund (NYSE Arca: SGDJ) seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index, the Solactive Junior Gold Miners Custom Factors Index (Ticker: SOLJGMFT).

The Index aims to track the performance of small-capitalization gold companies whose stocks are listed on regulated exchanges. The Index uses a transparent, rules-based methodology designed to emphasize junior gold stocks. The Index emphasizes junior gold producers with the strongest revenue growth and junior exploration companies with the strongest stock price momentum. The Index is reconstituted on a semi-annual basis to incorporate the latest factor scores into the selection and weighting process.

Performance: Average Annual Total Returns* (%)

QUARTER END AS OF 9/30/2026	1 MO	3 MO	YTD	1 YR	3 YR	5 YR	10 YR	S.I. ²
Sprott Junior Gold Miners ETF (Net Asset Value)	-9.97	18.91	5.20	33.74	60.42	25.01	11.04	14.80
Sprott Junior Gold Miners ETF (Market Price) ³	-10.10	17.24	5.44	33.02	60.73	24.84	10.94	14.75
Solactive Junior Gold Miners Custom Factors Index TR (Benchmark) ⁴	-9.89	19.12	5.65	31.56	60.70	25.09	11.67	15.50

Performance data quoted represents past performance. Past performance does not guarantee future results. Current performance may be higher or lower than actual data quoted. Call 1.888.622.1813 or visit www.sprottetfs.com for current month end performance. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost.

ETF Details

Ticker	SGDJ
Underlying Index	SOLJGMFT
Index Rebalancing	Semi-Annually
Listing Exchange	NYSE Arca
CUSIP	85210B 201
Fund Inception	March 30, 2015
Fund AUM	\$336.5 million

Fees and Expenses

(as of the most recent prospectus)

Management Fee	0.35%
Other Expenses ⁵	0.15%

Total Annual Fund Operating Expenses	0.50%
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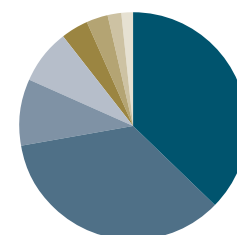
Portfolio Characteristics⁺

(as of 9/30/2026)

Number of Issuers	31
Market Cap (millions)	\$60,577
Largest Market Cap (millions)	\$3,645
Smallest Market Cap (millions)	\$158
Weighted Avg. Company Market Cap (millions)	\$2,181
Market Cap Breakdown	
Large (>\$10B)	0.00%
Medium (\$2 – \$10B)	54.84%
Small (<\$2B)	45.16%
Industry Weighting	
Gold	66.70%
Precious Metals	23.14%
Mineral Mining	5.35%
Base Metals	4.81%

Company Domicile Breakdown⁺

(as of 9/30/2026)



■ Australia – 37.24%
■ Canada – 35.15%
■ Turkey – 9.46%
■ United States – 7.82%
■ South Africa – 3.83%
■ Hong Kong – 3.11%
■ Columbia – 1.81%
■ Philippines – 1.58%

⁺Excludes cash.

* Returns less than one year are not annualized.

¹ See page 2: Important Disclosures & Definitions.

² Inception Date: 3/30/2015.

³ Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

⁴ From July 22, 2019 forward, Index data reflects the Fund's current underlying Index, the Solactive Junior Gold Miners Custom Factors Index (SOLJGMFT), which was created by Solactive AG ("Index Provider") to provide a means of generally tracking the performance of junior gold mining companies whose stocks are traded on Canadian and major U.S. exchanges. Index data prior to July 22, 2019, reflects the Fund's former index, the Sprott Zacks Junior Gold Miners Index (ZAXSGDJ). Index data shown for periods that include dates prior to July 22, 2019, reflect a blend of the performance of the SOLJGMFT and ZAXSGDJ Indices. An investor cannot invest directly in the Index. SGDJ was reorganized from ALPS ETF Trust into Sprott ETF Trust on or about July 19, 2019. SGDJ is a continuation of the prior Fund and, therefore, the performance information shown includes the prior Fund's performance.

⁵ For the fiscal year ended December 31, 2025, Sprott Asset Management USA, Inc. (the "Adviser") recaptured amounts previously waived from its advisory fee equal to approximately two basis points (0.02% of the Fund's average annual net assets).



Sprott Junior Gold Miners ETF

SprottETFs

As of September 30, 2026

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Sprott Junior Gold Miners ETF Holdings[‡] (as of 9/30/2026)

COMPANY	TICKER	WEIGHT*	COMPANY	TICKER	WEIGHT*
Wesdome Gold Mines Ltd.	WDO	5.63%	Omai Gold Mines Corp.	OMG	2.74%
Minerals 260 Limited	MI6	5.35%	Mineros S.A.	MSA	1.81%
Seabridge Gold Inc.	SA	4.82%	Orezone Gold Corporation	ORE	1.73%
TR Anadolu Metal Madencilik Isletmeleri A.S.	TRMET	4.81%	Philex Mining Corp.	PX	1.58%
Türk Altın İşletmeleri A.Ş.	TRALT	4.65%	Bellevue Gold Limited	BGL	1.50%
West African Resources Limited	WAF	4.59%	Southern Cross Gold Consolidated Ltd	SXGC	1.43%
Fortuna Mining Corp.	FVI	4.48%	Rio2 Limited	RIO	1.38%
Emerald Resources NL	EMR	4.44%	McEwen Inc.	MUX	1.38%
Kingsgate Consolidated Limited	KCN	4.39%	Resolute Mining Limited	RSG	1.22%
Catalyst Metals Limited	CYL	4.13%	Collective Mining Ltd.	CNL	1.17%
Allied Gold Corporation	AAUC	4.08%	Firefinch Limited	FFX	0.01%
Endeavour Silver Corp.	EDR	4.06%			
Ora Banda Mining Limited	OBM	4.01%			
PDI Gold Limited	PDI	3.85%			
DRDGOLD Limited	DRD	3.83%			
Alkane Resources Ltd	ALK	3.75%			
Hycroft Mining Holding Corporation	HYMC	3.49%			
i-80 Gold Corp.	IAU	3.41%			
Perpetua Resources Corp.	PPTA	3.17%			
Tongguan Gold Group Limited	340	3.11%			

*Excludes cash.

*Source: Bloomberg as of 9/30/2026, subject to change.

About Sprott – Sprott is a global asset manager focused on precious metals and critical materials investments. We are specialists. We believe our in-depth knowledge, experience and relationships separate us from the generalists. Our investment strategies include Exchange Listed Products, Managed Equities and Private Strategies. Sprott has offices in Toronto, New York, Connecticut and California and the company's common shares are listed on the New York Stock Exchange and the Toronto Stock Exchange under the symbol (SII). For more information, please visit www.sprott.com.

IMPORTANT DISCLOSURES & DEFINITIONS

¹ Factors that Matter™ refers to the stock selection and index weighting criteria co-developed by Sprott Asset Management LP and Solactive AG to construct the Solactive Junior Gold Miners Custom Factors Index (Index Ticker: SOLJGCFI). These customized factors are selected because they have historically proven to be strong predictors of stock performance, and include fundamental metrics such as revenue growth, long-term debt-to-equity and price momentum.

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a Sprott Junior Gold Miners ETF Statutory Prospectus, which contains this and other information, visit <https://sprottets.com/sgdj/prospectus>, contact your financial professional or call 1.888.622.1813. Read the Prospectus carefully before investing.

The Fund is not suitable for all investors. There are risks involved with investing in ETFs including the loss of money. The Fund is considered non-diversified and can invest a greater portion of assets in securities of individual issuers than a diversified fund. As a result, changes in a single investment's market value could cause greater share price fluctuation than in a diversified fund.

The Fund will be concentrated in the gold and silver mining industry. As a result, the Fund will be sensitive to changes in, and its performance will depend to a greater extent on, the overall condition of the gold and silver mining industry. Also, gold and silver mining companies are highly dependent on the price of gold and silver bullion. These prices may fluctuate substantially over short periods of time so the Fund's Share price may be more volatile than other types of investments.

Shares are not individually redeemable. Investors buy and sell shares of the Sprott Junior Gold Miners ETF on a secondary market. Only authorized participants may trade directly with the Fund, typically in blocks of 10,000 shares.

Funds that emphasize investments in small/mid cap companies will generally experience greater price volatility. Funds investing in foreign and emerging markets will also generally experience greater price volatility. Diversification does not eliminate the risk of experiencing investment losses. ETFs are considered to have continuous liquidity because they allow for an individual to trade throughout the day. A higher portfolio turnover rate may indicate higher transaction costs and may result in higher taxes when Fund shares are held in a taxable account. These costs, which are not reflected in annual fund operating expenses, affect the Fund's performance.

Sector weightings are determined using the Bloomberg Industry Classification Standard ("BICS").

Sprott Asset Management USA, Inc. is the Investment Adviser to the Sprott Junior Gold Miners ETF. ALPS Distributors, Inc. is the Distributor for the Sprott ETFs and is a registered broker-dealer and FINRA Member. ALPS Distributors, Inc. is not affiliated with Sprott Asset Management USA, Inc.

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